# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

JAMES CHEN

Serial No.:

09/905,405

Filed: For: July 13, 2001 COMPOSITIONS AND METHODS FOR

TREATMENT OF METABOLIC BONE

DISORDERS AND BONE METASTASES

Art Unit:

3764

Examiner:

Unassigned

### TRANSMITTAL LETTER

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Sir:

Transmitted herewith via hand delivery are a Supplemental Information Disclosure Statement, Form PTO-1449 (4 pages) and cited references for filing in connection with the above-identified application. Because this Information Disclosure Statement is filed prior to receipt of a first Office Action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account Number 50-1213, as stated below:

(X) The Commissioner is hereby authorized to charge the fee for the Information Disclosure Statement and any other fees that may be due under 37 C.F.R. §§1.16-1.17 in connection with this paper or with this application during its entire dependency to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted

HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:

Stephanie L. Seidman Registration No. 33,779

Date: April 02, 2002

Attorney Docket No.: 25886-0060 Address all correspondence to: Stephanie L. Seidman, Esq.

HELLER, EHRMAN, WHITE & McAULIFFE 4350 La Jolla Village Drive, 7th Floor San Diego, California 92122-1246

Telephone: (858) 450-8400 Facsimile: (858) 587-5360 EMAIL: sseidman@hewm.com APR \$\frac{1}{4} 2002

RECEIVED

APR -3 2002

TECHNOLOGY CENTER 3700

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: JAMES CHEN

Serial No.: 09/905,405

Filed: July 13, 2001

For: COMPOSITIONS AND METHODS

FOR TREATMENT OF

METABOLIC BONE DISORDERS

AND BONE METASTASES

Art Unit: 3764

Examiner: Unassigned

RECEIVED
APR -3 2002
TECHNOLOGY CENTER 370

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Since this Supplemental Information Disclosure Statement is filed before the receipt of a first Office Action on the merits for the above-captioned application, no filing fee is due. If it is determined that a fee is due, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. The Forms PTO-1449 (4 pages) and cited references are provided herewith.

U.S.S.N. 09/905,405 CHEN Supplemental Information Disclosur Statement

The documents listed on the Forms PTO-1449 and supplied herewith are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Office the following U.S. and International applications, which are commonly owned and/or have one or more inventors in common with the instant application.

U.S.S.N.(App. no.)	Filing Date	Docket No.	<u>Status</u>
Not Available	03/04/02	0066B	Pending
09/078,329	05/13/98	CHEN0061	Pending
09/150,821	09/11/98	CHEN0079	Pending
09/597,931	06/19/00	CHEN0131	Pending
09/644,253	08/22/00	CHEN0132	Pending
Int'l Patent No.	Filing Date	<b>Docket No.</b>	<u>Status</u>
PCT/US00/33052	12/05/00	CHEM0155	Pending

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

U.S.S.N. 09/905,405 CHEN Supplemental Information Disclosure Statement

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

HELLER EHRMAN WHITE & MCAULIFFE LLP

By:

Stephanie L. Seidman Registration No. 33,779

Dated: April 2, 2002

Attorney Docket No. 25886-0060

Address all correspondence to:
Stephanie L. Seidman, Esq.
HELLER EHRMAN WHITE & MCAULIFFE LLP
4350 La Jolla Village Drive, 7th Floor
San Diego, CA 92122-1246

Telephone: (858) 450-8400 Facsimile: 858/587-5360 EMAIL sseidman@HEWM.com



### FORM PTO-1449 (Modified)

# LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 25886-0060

SERIAL NO. 09/905,405

APPLICANT JAMES CHEN

FILING DATE July 13, 2001 GROUP 3764

# PATENT DOCUMENTS

EXAMINER INITIAL			D	OCUM	IENT N	NUMB	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	A	20	01	00	46	9	8	3	A1 11/29/01	Pandey <i>et al</i> .	514	185	12/18/00
	В	20	01	00	49	5	0	2	A1 12/06/01	Chen	604	167.06	11/25/98
	С	20	02	00	10	5	0	0	A1 01/24/02	Chen	607	89	06/24/98
	D	5	5	1	4	6	6	9	05/07/96	Selman	514	63	09/29/93
	Ę.	5	5	7	1	1	5	2	11/05/96	Chen et al.	607	92	05/26/95
	F	5	7	3	6	5	6	3	04/07/98	Richter	514	410	11/08/95
	G	5	7	4	1	3	1	6	04/21/98	Chen et al.	607	61	12/02/96
	н	5	8	0	0	4	7	8	09/01/98	Chen <i>et al.</i>	607	88	03/07/96
	ı	5	8	0	7	8	8	1	09/15/98	Leong <i>et al.</i>	514	410	09/22/94
	J	5	8	1	4	0	0	8	09/29/98	Chen <i>et al.</i>	604	21	07/29/96
	К	5	8	1	7	0	4	8	10/06/98	Lawandy	604	20	03/20/97
	L	5	8	6	5	8	4	0	02/02/99	Chen	607	92	10/22/97
	М	5	8	7	6	4	2	7	03/02/99	Chen et al.	607	88	01/29/97
	N	5	9	2	1	2	4	4	07/13/99	Chen et al.	128	897	06/11/97
-	0	5	9	4	2	5	3	4	08/24/99	Trauner et al.	514	410	10/10/97
	Р	5	9	4	5	7	6	2	08/31/99	Chen et al.	310	171	02/10/98
	a	5	9	5	7	9	6	0	09/28/99	Chen et al.	607	92	05/05/97
	R	5	9	9	7	5	6	9	12/07/99	Chen et al.	607	88	01/29/97
	S	5 .	9	. 9 .	7	8	. 4	2	-12/07/99-	-Chen	424 <sup>-</sup>	1.29	-04/1/3/98
	Т	6	0	8	0	1	6	0	06/27/00	Chen <i>et al.</i>	606	72	12/04/96
	υ	6	0	8	3	4	8	5	07/04/00	Licha <i>et al.</i>	424	9.6	11/07/97
	V	6	0	9	2	5	3	1	07/25/00	Chen et al.	128	899	06/03/99

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT ATTY. DOCKET NO. 25886-0060 09/905,405 APPLICANT JAMES CHEN FILING DATE GROUP 3764

### PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	w	6	1	0	0	2	9	0	08/08/00	Levy et al.	514	410	09/14/98
	х	6	1	0	7	. 3	2	5	08/22/00	Chan <i>et al</i> .	514	410	05/18/98
	Υ	6	1	3	8	6	8	1	10/31/00	Chen et al.	128	899	10/13/97
	Z	6	2	9	7	2	2	8	10/02/01	Clark <i>et al.</i>	514	177	12/02/99
	АА	6	3	1	9	2	7	3	B1 11/20/01	Chen et al.	607	88	12/16/99
	АВ	6	3	3	1	7	4	4	B1 12/18/01	Chen et al.	310	171	04/11/00
	AC	6	3	4	4	0	5	0	02/05/02	Chen	607	88	12/21/98

### FOREIGN PATENT DOCUMENTS

		D	OCUN	IENT I	NUMB	ER		DATE	COUNTRY	CLASS	SUB CLASS	Trans Yes	slation No
AD	0	0	1	5	2	9	6	03/23/00	РСТ				
AE	0	0	3	6	9	8	3	06/29/00	РСТ				
AF	0	0	4	1	7	2	5	07/20/00	PCT <sup>-</sup>				
AG	0	0	4	1	7	2	6	07/20/00	РСТ				
АН	0	0	4	1	7	2	7	07/20/00	РСТ				
Al	0	0	4	1	7	6	8	07/20/00	РСТ				
AJ	0	1	0	3	7	7	0	01/18/01	РСТ			-	
AK	0	1	0	5	3	1	6	01/25/01	РСТ				
AL	0	1	1	5	6	9	4	03/08/01	PCT				
 АМ	0	1	5	1	0	8	7	07/19/01	РСТ				
AN	0	6	7	4	2	5	1	12/12/96	AU				
AO	0	6	9	4	8	6	8	07/30/98	AU				
AP	0	7	0	8	4	1	0	08/05/99	AU				

### **EXAMINER**

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. SERIAL NO. 25886-0060 09/905,405					
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT JAMES CHEN					
STATEMENT	FILING DATE July 13, 2001	GROUP 3764				

### FOREIGN PATENT DOCUMENTS

			D	OCUM	IENT I	NUMB	ER		DATE	COUNTRY	CLASS	SUB CLASS	Translation Yes No	
	AQ	0	7	1	3	2	2	7	11/25/99	AU				
-	AR	0	7	2	0	8	1	5	06/15/00	AU				
	AS	0	7	2	1	8	5	7	07/13/00	AU				
	AT	9	7	3	2	5	2	0	09/12/97	PCT			i	
	AU	9	8	0	6	4	5	6	02/19/98	PCT				
	AV	9	8	0	8	5	6	5	03/05/98	РСТ				
	AW	9	8	1	4	2	4	3	04/09/98	РСТ				
	AX	9	8	2	4	3	7	1	06/11/98	РСТ				
	AY	9	8	2	4	5	1	0	06/11/98	РСТ				
	ΑZ	9	8	3	2	4	9	1	07/30/98	РСТ				
	ВА	9	8	3	2	4	9	2	07/30/98	РСТ				
<del></del> .	BB	9	8	3	2	4	9	3	07/30/98	PCT				
	вс	9	8	4	6	1	3	0	10/22/98	PCT				
	BD	9	8	. 5	0	0	3	4	11/12/98	PCT				
	BE	. 9	8	5	6	3	0	2	12/17/98	РСТ				
	BF	9	9	0	3	5	0	3	01/28/99	PCT				
	BG	9	9	1	8	8	7	9	04/22/99	PCT				
-	вн	9	9	2	0	3	4	6	04/29/99	PCT				
	ВІ	9	9	3	9	7	6	9	08/12/99	PCT				
-	BJ	9	9	5	2	5	6	5	10/21/99	PCT				
	ВК	9	9	5	8	1	4	9	11/18/99	PCT				
	BL	9	9	6	6	9	8	8	12/29/99	PCT				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

# **DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

		·	= '						
FORM PTO-	1449	(Modified)	ATTY. DOCKET NO. SERIAL NO. 25886-0060 09/905,405						
		NTS AND PUBLICATIONS FOR INFORMATION DISCLOSURE	APPLICANT JAMES CHEN						
		STATEMENT	FILING DATE July 13, 2001	GROUP 3764					
	_								
	вм	Ciulla et al., "Changing therapeutic paradigms for exudative age-relted macular degeneration: antiangiogenic agents and photodynamic therapy", Exp. Opin. Invest. Drugs, 8(12):2173-2182 (1999)							
	BN	Dimitroff <i>et al.</i> , "Anti-angiogenic activity of selected receptor tyrosine kinase inhibitors, PD166285 and PD173074: Implications for combination treatment with photodynamic therapy", <i>Investigational New Drugs</i> , <u>17</u> :121-135 (1999)							
	во	Ferrario et al., "Antiangiogenic Treatment Enhances Photodynamic Therapy Responsiveness in a Mouse Mammary Carcinoma", Cancer Research, 60:4066-4069 (2000)							
	BP	McMillan et al., "Tumor growth inhition and regression induced by photothermal vascular targeting and angiogenesis inhibitor retinoic acid", Cancer Lett., 137:35-44 (1999)							
	BQ	Renno et al., "Photodynamic Therapy Using Lu-Tex Induces Apoptosis In Vitro, and Its Effect is Potentiated by Angiostatin in Retinal Capillary Endothelial Cells", <i>Investigative Opthalmol. &amp; Visual Sci.</i> , 41(12):3963-3971 (2000)							

**EXAMINER** 

**DATE CONSIDERED** 

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.